

# ON TRACES OF TENSOR REPRESENTATIONS OF DIAGRAMS

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**Abstract.** Let  $T$  be a set, of *types*, and let  $\iota, o : T \rightarrow \mathbb{Z}_+$ . A  $T$ -*diagram* is a locally ordered directed graph  $G$  equipped with a function  $\tau : V(G) \rightarrow T$  such that each vertex  $v$  of  $G$  has indegree  $\iota(\tau(v))$  and outdegree  $o(\tau(v))$ . (A directed graph is *locally ordered* if at each vertex  $v$ , linear orders of the edges entering  $v$  and of the edges leaving  $v$  are specified.)

Let  $V$  be a finite-dimensional  $\mathbb{F}$ -linear space, where  $\mathbb{F}$  is an algebraically closed field of characteristic 0. A function  $R$  on  $T$  assigning to each  $t \in T$  a tensor  $R(t) \in V^{*\otimes \iota(t)} \otimes V^{\otimes o(t)}$  is called a *tensor representation* of  $T$ . The *trace* (or *partition function*) of  $R$  is the  $\mathbb{F}$ -valued function  $p_R$  on the collection of  $T$ -diagrams obtained by ‘decorating’ each vertex  $v$  of a  $T$ -diagram  $G$  with the tensor  $R(\tau(v))$ , and contracting tensors along each edge of  $G$ , while respecting the order of the edges entering  $v$  and leaving  $v$ . In this way we obtain a *tensor network*.

We characterize which functions on  $T$ -diagrams are traces, and show that each trace comes from a unique ‘strongly nondegenerate’ tensor representation. The theorem applies to virtual knot diagrams, chord diagrams, and group representations.

Keywords: diagram, tensor representation, trace, partition function, virtual link, chord diagram

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## 1. Introduction

Our theorem characterizes traces of tensor networks, more precisely of tensor representations of diagrams, which applies to knot diagrams, group representations, and algebras. Tensor networks and their diagrammatical notation root in work of Penrose [17], and were applied to knot theory by Kauffman [9] and to Hopf algebra in ‘Kuperberg’s notation’ [12]. Other applications

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were found in areas like quantum complexity (cf. [1], [8], [15], [19]), statistical physics (cf. [7], [20]), and neural networks (cf. [16]). (See Landsberg [13] for an in-depth survey of the geometry of tensors and its applications.)

## Types and $T$ -diagrams

Let  $T$  be a (finite or infinite) set, of *types*, and let  $\iota, o : T \rightarrow \mathbb{Z}_+$  ( $:=$  set of nonnegative integers). A  $T$ -*diagram* is a (finite) locally ordered directed graph  $G$  equipped with a function  $\tau : V(G) \rightarrow T$  such that each vertex  $v$  of  $G$  has indegree  $\iota(\tau(v))$  and outdegree  $o(\tau(v))$ . Here a directed graph is *locally ordered* if at each vertex  $v$ , a linear order of the edges entering  $v$  and a linear order of the edges leaving  $v$  are specified. Loops and multiple edges are allowed. Moreover, we allow the ‘vertexless directed loop’  $\circlearrowright$  — more precisely, components of a  $T$ -diagram may be vertexless directed loops.

Let  $\mathcal{G}_T$  denote the collection of all  $T$ -diagrams. If  $T$  is clear from the context, we call a  $T$ -diagram just a *diagram*, and denote  $\mathcal{G}_T$  by  $\mathcal{G}$ . The types can be visualized by small pictograms indicating the type of any vertex, as in the following examples.

## Examples

*Virtual link diagrams.*  $T = \{ \begin{array}{c} \diagup \\ \diagdown \end{array}, \begin{array}{c} \diagdown \\ \diagup \end{array} \}$ . So  $|T| = 2$  and  $\iota(t) = o(t) = 2$  for each  $t \in T$ . (In pictures like this we assume the entering edges are ordered counter-clockwise and the leaving edges are ordered clockwise. We also will occasionally delete the grey circle indicating the vertex.) Then the  $T$ -diagrams are the virtual link diagrams (cf. [10], [11], [14]).

*Multiloop chord diagrams.*  $T = \{ \begin{array}{c} \uparrow \\ \text{---} \\ \uparrow \end{array} \}$ , with  $\iota(\begin{array}{c} \uparrow \\ \text{---} \\ \uparrow \end{array}) = o(\begin{array}{c} \uparrow \\ \text{---} \\ \uparrow \end{array}) = 2$ . Then the  $T$ -diagrams are the multiloop chord diagrams, which play a key role in the Vassiliev knot invariants (cf. [3]). They can also be described as cubic graphs in which a set of disjoint oriented circuits (‘Wilson loops’) covering all vertices is specified. By contracting each Wilson loop to one point, the  $T$ -diagrams correspond to graphs cellularly embedded on an oriented surface.

*Groups.* Let  $\Gamma$  be a group, and let  $T := \Gamma$ , with  $\iota(t) = o(t) = 1$  for each  $t \in T$ . Then  $T$ -diagrams consist of disjoint directed cycles, with each vertex typed by an element of  $\Gamma$ .

*Algebra template.*  $T = \{\leftarrow\mu, \leftarrow\eta\}$ , where the types represent the multiplication  $\mu$  and the unit  $\eta$ , respectively.

*Hopf algebra template.*  $T = \{\leftarrow\mu, \leftarrow\eta, \leftarrow\Delta, \leftarrow\varepsilon, \leftarrow S\}$ , where the types represent the multiplication  $\mu$ , the unit  $\eta$ , the comultiplication  $\Delta$ , the counit  $\varepsilon$ , and the antipode  $S$ , respectively (cf. Kuperberg [12]).

*Directed graphs.*  $T := \mathbb{Z}_+^2$ , with  $\iota(k, l) = k$  and  $o(k, l) = l$  for  $(k, l) \in T$ .

### Tensor representations and their traces

Throughout this paper, fix an algebraically closed field  $\mathbb{F}$  of characteristic 0. For any finite-dimensional  $\mathbb{F}$ -linear space  $V$ , let as usual<sup>2</sup>

$$T(V) := \bigoplus_{k,l} (V^{*\otimes k} \otimes V^{\otimes l}).$$

If  $T$  is a set of types, call a function  $R : T \rightarrow T(V)$  a *tensor representation* of  $T$  if  $R(t) \in V^{*\otimes\iota(t)} \otimes V^{\otimes o(t)}$  for each  $t \in T$ . We call  $\dim(V)$  the *dimension* of  $R$ . Let  $\mathcal{R}_T$  denote the collection of tensor representations  $T \rightarrow T(V)$ . ( $\mathcal{R}_T$  depends on the linear space  $V$ , but we will use  $\mathcal{R}_T$  only when  $V$  has been set.)

For a tensor representation  $R : T \rightarrow T(V)$ , the *partition function* or *trace*  $p_R : \mathcal{G} \rightarrow \mathbb{F}$  of  $R$  is defined as follows. Roughly speaking, we ‘decorate’ each vertex  $v$  of a  $T$ -diagram  $G$  with the tensor  $R(\tau(v))$ , and contract tensors along each edge of  $G$ , consistent with the orders of the edges entering  $v$  and of those leaving  $v$ . In this way we have a *tensor network*.

To give a more precise description of trace, fix a basis  $b_1, \dots, b_n$  of  $V$ , with dual basis  $b_1^*, \dots, b_n^*$ . Represent any element  $x$  of  $V^{*\otimes k} \otimes V^{\otimes l}$  as a multi-dimensional array  $(x_{i_1, \dots, i_k}^{j_1, \dots, j_l})_{i_1, \dots, i_k, j_1, \dots, j_l=1}^n$ , which are the coefficients of  $x$  when expressed in the basis  $b_{i_1}^* \otimes \dots \otimes b_{i_k}^* \otimes b_{j_1} \otimes \dots \otimes b_{j_l}$  of  $V^{*\otimes k} \otimes V^{\otimes l}$ . Set  $[n] := \{1, \dots, n\}$ . Then

$$p_R(G) := \sum_{\varphi: E(G) \rightarrow [n]} \prod_{v \in V(G)} R(\tau(v))_{\varphi(\delta^{\text{in}}(v))}^{\varphi(\delta^{\text{out}}(v))}.$$

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<sup>2</sup>We expect the two different uses of  $T$  as set of types and (non-italicized) in  $T(V)$  do not confuse.

Here  $\delta^{\text{in}}(v)$  and  $\delta^{\text{out}}(v)$  are the ordered sets of edges entering  $v$  and leaving  $v$ , respectively. Moreover, for any ordered set  $(e_1, \dots, e_t)$  of edges,  $\varphi(e_1, \dots, e_t) := (\varphi(e_1), \dots, \varphi(e_t))$ .

Note that  $p_R(G)$  is independent of the chosen basis of  $V$ . The function  $\varphi$  corresponds to a ‘state’ or ‘edge coloring’ of the ‘vertex model’  $R$  of de la Harpe and Jones [7] (cf. [21]).

For  $G \in \mathcal{G}_T$ , define  $p(G) : \mathcal{R}_T \rightarrow \mathbb{F}$  by  $p(G)(R) := p_R(G)$ . Then  $p(G)$  is  $\text{GL}(V)$ -invariant, taking the natural action of  $\text{GL}(V)$  on  $\mathcal{R}_T$ . (We will use  $p(G)$  only when  $V$  has been set.)

### Webs = tangle diagrams

To characterize which functions on the collection  $\mathcal{G}_T$  of  $T$ -diagrams are traces, we need the concept of tangle diagrams, also called webs. When  $T$  has been set, for  $k, l \in \mathbb{Z}_+$ , a  $k, l$ -tangle diagram, briefly a  $k, l$ -web, is a locally ordered directed graph  $W$  equipped with injective functions  $r : [k] \rightarrow V(W)$  and  $s : [l] \rightarrow V(W)$  such that  $r(i)$  has outdegree 1 and indegree 0 (for  $i \in [k]$ ) and  $s(j)$  has indegree 1 and outdegree 0 (for  $j \in [l]$ ), and equipped moreover with a function  $\tau : V'(W) \rightarrow T$  such that each vertex  $v \in V'(W)$  has indegree  $\iota(\tau(v))$  and outdegree  $o(\tau(v))$ , where  $V'(W) := V(W) \setminus (r([k]) \cup s([l]))$ .

The vertices in  $r([k])$  are called the *roots* and the vertices in  $s([l])$  are called the *sinks*. For  $i \in [k]$ ,  $i$  is called the *label* of vertex  $r(i)$ , and for  $j \in [l]$ ,  $j$  is called the *label* of vertex  $s(j)$ . Again, loops and multiple edges are allowed, and components of  $W$  may be the vertexless directed loop  $\bigcirc$ . We call  $W$  a *web* if it is a  $k, l$ -web for some  $k, l$ . Let  $\mathcal{W}_{k,l}$  be the collection of all  $k, l$ -webs, and let  $\mathcal{W}$  be the collection of all webs. So  $\mathcal{W}_{0,0} = \mathcal{G}$ . (We use this notation if  $T$  has been set.)

By  $\mathbb{F}\mathcal{G}$ ,  $\mathbb{F}\mathcal{W}_{k,l}$ , and  $\mathbb{F}\mathcal{W}$  we denote the linear spaces of formal  $\mathbb{F}$ -linear combinations of elements of  $\mathcal{G}$ ,  $\mathcal{W}_{k,l}$ , and  $\mathcal{W}$ , respectively. Like in [5], we call their elements *quantum diagrams*, *quantum  $k, l$ -webs*, and *quantum webs*, respectively. We extend any function on  $\mathcal{G}$ ,  $\mathcal{W}_{k,l}$ , or  $\mathcal{W}$  to some linear space linearly to a linear function on  $\mathbb{F}\mathcal{G}$ ,  $\mathbb{F}\mathcal{W}_{k,l}$ , or  $\mathbb{F}\mathcal{W}$ .

For  $G, H \in \mathcal{G}$ , let  $G \cdot H$  be the disjoint union of  $G$  and  $H$ . More generally, if  $W \in \mathcal{W}_{k,l}$  and  $X \in \mathcal{W}_{l,k}$ , let  $W \cdot X$  be the diagram arising from the disjoint union of  $W$  and  $X$  by, for each  $i \in [k]$ , identifying the  $i$ -labeled root in  $W$  with the  $i$ -labeled sink in  $X$ , and, for each  $j \in [l]$ , identifying the  $j$ -labeled sink in  $W$  with the  $j$ -labeled root in  $X$ . After each identification, we ignore

identified points as vertex, joining the entering and leaving edge into one directed edge (that is,  $\longrightarrow$  becomes  $\longrightarrow$ ). Note that this operation may introduce vertexless directed loops. We extend this product  $\cdot$  bilinearly to  $\mathbb{F}\mathcal{W} \times \mathbb{F}\mathcal{W} \rightarrow \mathbb{F}\mathcal{W}$ , setting  $W \cdot X := 0$  if  $W \in \mathcal{W}_{k,l}$  and  $X \in \mathcal{W}_{l',k'}$  with  $(k,l) \neq (k',l')$ .

Define, for each  $k$ , an element  $\Delta_k \in \mathbb{F}\mathcal{W}_{k,k}$  as follows. For  $\pi \in S_k$  let  $J_\pi$  be the  $k,k$ -web consisting of  $k$  disjoint directed edges  $e_1, \dots, e_k$ , where the tail of  $e_i$  is labeled  $i$  and its head is labeled  $\pi(i)$ , for  $i \in [k]$ . Then

$$\Delta_k := \sum_{\pi \in S_k} \text{sgn}(\pi) J_\pi.$$

Call  $f : \mathcal{G} \rightarrow \mathbb{F}$  *multiplicative* if  $f(\emptyset) = 1$  and  $f(G \cdot H) = f(G)f(H)$  for all  $G, H \in \mathcal{G}$ . Here  $\emptyset$  is the diagram with no vertices and edges, and as before,  $G \cdot H$  denotes the disjoint union of  $G$  and  $H$ . We say that  $f : \mathcal{G} \rightarrow \mathbb{F}$  *annihilates* a quantum web  $\omega$  if  $f(\omega \cdot W) = 0$  for each web  $W$ .

**Theorem 1.** *Let  $f : \mathcal{G}_T \rightarrow \mathbb{F}$ . Then there exists a tensor representation  $R$  of  $T$  of dimension  $\leq n$  with  $p_R = f$  if and only if  $f$  is multiplicative and annihilates  $\Delta_{n+1}$ .*

This theorem can be seen as a generalization of the following simple statement. Let  $\Gamma$  be any (finite or infinite) group. Then a class function  $\varphi : \Gamma \rightarrow \mathbb{F}$  is the character of some representation of  $\Gamma$  of dimension  $\leq 2$  if and only if for all  $a, b, c \in \Gamma$ :

$$\varphi(abc) + \varphi(cba) + \varphi(a)\varphi(b)\varphi(c) = \varphi(ab)\varphi(c) + \varphi(ac)\varphi(b) + \varphi(bc)\varphi(a).$$

(Similarly for higher dimensions.)

### The extended trace $\hat{p}_R$

Generally, as the examples described above suggest, we want to have a tensor representation that satisfies certain linear relations between webs (for instance, ‘R-matrices’ for the virtual link example). Such relations can be described by a collection  $Q$  of quantum webs.

Given a finite-dimensional  $\mathbb{F}$ -linear space  $V$  and a tensor representation  $R : T \rightarrow \mathbb{T}(V)$ , we extend the trace function  $p_R : \mathcal{G} \rightarrow \mathbb{F}$  to a function  $\hat{p}_R : \mathcal{W} \rightarrow \mathbb{T}(V)$  as follows. Let  $W$  be a  $k,l$ -web, with root function  $r : [k] \rightarrow$

$V(W)$  and sink function  $s : [l] \rightarrow V(W)$ . Fix a basis  $b_1, \dots, b_n$  of  $V$ , with dual basis  $b_1^*, \dots, b_n^*$ . Then

$$(1) \quad \hat{p}_R(W) := \sum_{\varphi: E(W) \rightarrow [n]} \left( \prod_{v \in V'(W)} R(\tau(v))^{\varphi(\delta^{\text{out}}(v))}_{\varphi(\delta^{\text{in}}(v))} \right) \bigotimes_{i=1}^k b_{\varphi(e'_i)}^* \otimes \bigotimes_{j=1}^l b_{\varphi(e_j)},$$

where  $V'(W) := V(W) \setminus (r([k]) \cup s([l]))$ , and moreover, for  $i \in [k]$ ,  $e'_i$  is the edge leaving  $r(i)$ , and, for  $j \in [l]$ ,  $e_j$  is the edge entering  $s(j)$ .

Again,  $\hat{p}_R(W)$  is independent of the chosen basis of  $V$ . Also,  $p_R := \hat{p}_R|_{\mathcal{G}}$ . We set  $\hat{p}(W)(R) := \hat{p}_R(W)$  for  $R \in \mathcal{R}_T$  and web  $W$ . Then for each  $W$ ,  $\hat{p}(W)$  is  $\text{GL}(V)$ -invariant. Finally, by letting  $\cdot$  (also) to be the standard bilinear form on  $T(V)$ , we have for webs  $W$  and  $X$ :

$$(2) \quad \hat{p}_R(W) \cdot \hat{p}_R(X) = p_R(W \cdot X).$$

Hence for any set  $Q$  of quantum webs,  $\hat{p}_R(Q) = 0$  implies that  $p_R$  annihilates  $Q$  (meaning that it annihilates each  $\omega \in Q$ ) — but not conversely. (An easy example is  $T := \{a\}$  with  $\iota(a) = o(a) = 1$ ,  $V = \mathbb{F}^2$ , and  $R := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ,  $Q = \{ \leftarrow \textcircled{a} \leftarrow - \leftarrow \leftarrow \}$ .)

However, as we will see, if  $p_R$  annihilates  $Q$ , then there exists  $R'$  such that  $p_{R'} = p_R$  and  $\hat{p}_{R'}(\omega) = 0$  for all  $\omega \in Q$ . So we could take for  $Q$  the collection of *all* quantum webs annihilated by  $p_R$ .

### Examples (continued)

*Virtual link diagrams.* The following set of quantum webs correspond to the Reidemeister moves:

$$Q := \left\{ \begin{array}{c} \uparrow \\ \circlearrowleft \\ \uparrow \end{array} - \begin{array}{c} \uparrow \\ | \\ \uparrow \end{array}, \begin{array}{c} \uparrow \\ \circlearrowright \\ \uparrow \end{array} - \begin{array}{c} \uparrow \\ | \\ \uparrow \end{array}, \begin{array}{c} \uparrow \\ \circlearrowleft \\ \downarrow \end{array} - \begin{array}{c} \uparrow \\ | \\ \downarrow \end{array}, \begin{array}{c} \uparrow \\ \circlearrowright \\ \downarrow \end{array} - \begin{array}{c} \uparrow \\ | \\ \downarrow \end{array}, \begin{array}{c} \uparrow \\ \diagdown \\ \diagup \end{array} - \begin{array}{c} \uparrow \\ \diagup \\ \diagdown \end{array} \right\}.$$

(In pictures representing quantum webs like this we assume that the roots and sinks in the different webs occurring in the quantum web are labeled consistently suggested by their position in the pictures. The precise numbering of the roots and sinks is irrelevant, as long it is consistent over all webs occurring in the quantum web.) Then the functions  $f : \mathcal{G} \rightarrow \mathbb{F}$  annihilating  $Q$  are the virtual link invariants (that is, invariant under Reidemeister moves). Moreover,  $\hat{p}_R(Q) = 0$  if and only if  $R$  is an ‘R-matrix’.

*Multiloop chord diagrams.* To describe the ‘undirectedness’ of the chords and the ‘4T-relations’, set

$$Q := \left\{ \begin{array}{c} \uparrow \\ | \\ \uparrow \end{array} - \begin{array}{c} \uparrow \quad \uparrow \\ \diagdown \quad \diagup \\ \uparrow \quad \uparrow \end{array}, \begin{array}{c} \uparrow \quad \uparrow \quad \uparrow \\ | \quad | \quad | \end{array} + \begin{array}{c} \uparrow \quad \uparrow \quad \uparrow \\ | \quad | \quad | \end{array} - \begin{array}{c} \uparrow \quad \uparrow \quad \uparrow \\ | \quad | \quad | \end{array} - \begin{array}{c} \uparrow \quad \uparrow \quad \uparrow \\ | \quad | \quad | \end{array} \right\}.$$

(Note the difference between a vertex, indicated by a dot, and a crossing of edges as an effect of the planarity of the drawing.) Then the functions  $f : \mathcal{G} \rightarrow \mathbb{F}$  annihilating  $Q$  are the ‘weight systems’. Moreover,  $\hat{p}_R(Q) = 0$  if and only if  $R$  comes from a representation of a Lie algebra (cf. [3]).

*Groups.* Define for a group  $\Gamma$ :

$$Q := \left\{ \begin{array}{c} \leftarrow \textcircled{a} \leftarrow \textcircled{b} \leftarrow \\ \leftarrow \textcircled{ab} \leftarrow \end{array} \mid a, b \in \Gamma \right\} \cup \left\{ \begin{array}{c} \leftarrow \textcircled{1} \leftarrow \\ \leftarrow \leftarrow \end{array} \right\},$$

where 1 is the unit of  $\Gamma$ . Then  $\hat{p}_R(Q) = 0$  if and only if  $R$  is a representation of  $\Gamma$ .

*Algebra template.* Define

$$Q := \left\{ \begin{array}{c} \leftarrow \textcircled{\mu} \leftarrow \textcircled{\mu} \\ \leftarrow \textcircled{\mu} \leftarrow \textcircled{\mu} \end{array}, \begin{array}{c} \leftarrow \textcircled{\mu} \leftarrow \textcircled{\eta} \\ \leftarrow \textcircled{\mu} \leftarrow \textcircled{\eta} \end{array}, \begin{array}{c} \leftarrow \textcircled{\mu} \leftarrow \\ \leftarrow \leftarrow \end{array}, \begin{array}{c} \leftarrow \textcircled{\mu} \leftarrow \\ \leftarrow \leftarrow \end{array} \right\}.$$

Then  $\hat{p}_R(Q) = 0$  if and only if  $R(\mu)$  and  $R(\eta)$  are the multiplication tensor and the unit of a finite-dimensional unital associative  $\mathbb{F}$ -algebra.

*Hopf algebra template.* The Hopf algebra axioms can similarly be translated into quantum diagrams (cf. [12]).

*Directed graphs.* Let  $Q$  be the collection of all quantum webs

$$\begin{array}{c} \begin{array}{c} \uparrow \quad \dots \quad \uparrow \\ \diagdown \quad \diagup \\ \textcircled{k,l} \\ \diagup \quad \diagdown \\ \uparrow \quad \dots \quad \uparrow \end{array} - \begin{array}{c} \uparrow \quad \dots \quad \uparrow \\ \diagdown \quad \diagup \\ \textcircled{k,l} \\ \diagup \quad \diagdown \\ \uparrow \quad \dots \quad \uparrow \end{array} \end{array}$$

$1 \quad \dots \quad k \qquad \qquad \pi(1) \quad \dots \quad \pi(k)$

with  $k, l \in \mathbb{Z}_+$  and  $\pi \in S_k, \sigma \in S_l$ . Then  $\hat{p}_R(Q) = 0$  amounts to requiring that  $R$  is symmetric under permutations of entering edges and under permutations of leaving edges. Thus we deal with invariants of ordinary directed graphs, with no ordering of edges. This case was considered in [4], and Theorem 1 forms a generalization of its result.

## Nondegeneracy

In these examples we were considering tensor representations  $R$  with  $p_R = f$  and  $\hat{p}_R(Q) = 0$ , where  $Q$  is some given collection of quantum webs annihilated by  $f$ . In fact, for each trace  $f$  there exists a tensor representation  $R$  with  $f = p_R$  such that  $\hat{p}_R(\omega) = 0$  for *each* quantum web  $\omega$  annihilated by  $f$ . To describe this more precisely, we define nondegeneracy of tensor representations.

Call a tensor representation  $R : T \rightarrow \mathbb{T}(V)$  *nondegenerate* if for each quantum web  $\omega$  with  $\hat{p}_R(\omega) \neq 0$ , there exists  $W \in \mathcal{W}$  with  $p_R(\omega \cdot W) \neq 0$ . In other words, in view of (2),  $R$  being nondegenerate means that the subspace  $\hat{p}_R(\mathbb{F}\mathcal{W})$  of  $\mathbb{T}(V)$  is nondegenerate with respect to the standard bilinear form on  $\mathbb{T}(V)$ . Or:  $\hat{p}_R(\omega) = 0$  for each quantum web  $\omega$  annihilated by  $p_R$ .

Call  $R$  *strongly nondegenerate* if each finite  $U \subseteq T$  is contained in some finite  $S \subseteq T$  with the restriction  $R|_S$  of  $R$  to  $S$  being nondegenerate. The proof of the theorem below implies that this is equivalent to: there is a finite subset  $U \subseteq T$  such that  $R|_S$  is nondegenerate for each finite  $S$  with  $U \subseteq S \subseteq T$ . So strong nondegeneracy implies nondegeneracy, and if  $T$  is finite, the two concepts coincide. (Actually, we have no example of a nondegenerate  $R$  which is not strongly nondegenerate.)

In the following theorem, ‘unique’ means: up to the natural action of  $\mathrm{GL}(V)$  on the set of tensor representations  $T \rightarrow \mathbb{T}(V)$ .

**Theorem 2.** *For each trace  $f$  there exists a unique strongly nondegenerate tensor representation  $R$  with  $p_R = f$ .*

For given sets  $T$  of types and  $Q$  of quantum webs, it is a fundamental question to determine the collection  $\overline{Q}$  of quantum webs  $\omega$  that are annihilated by each function  $f : \mathcal{G}_T \rightarrow \mathbb{F}$  annihilating  $Q$ . For the virtual link diagram example this contains the question which virtual link diagrams are equivalent under Reidemeister moves.

A related question is whether for each  $f$  annihilating  $Q$  and each quantum diagram  $\gamma$  with  $f(\gamma) \neq 0$ , there exists a trace  $p_R$  annihilating  $Q$  with  $p_R(\gamma) \neq 0$  (‘detecting  $\gamma$ ’). For instance, for the multiloop chord diagram example, this question was answered negatively by Vogel [22].



## 2. Some applications of invariant theory

We give a few consequences of invariant theory, as preparation to the proof of Theorems 1 and 2 in Section 3. In this section, fix a finite-dimensional linear space  $V$ .

If  $T$  is finite, then  $\mathcal{R}_T$  is a finite-dimensional linear space, which can be described as:

$$(3) \quad \mathcal{R}_T = \bigoplus_{t \in T} V^{*\otimes t} \otimes V^{\otimes t}.$$

Then the following is a direct application of the first fundamental theorem (FFT) of invariant theory for  $\mathrm{GL}(V)$  (cf. [6] Corollary 5.3.2), where as usual  $\mathcal{O}(Z)$  denotes the set of regular  $\mathbb{F}$ -valued functions on a variety  $Z$ , while if  $\mathrm{GL}(V)$  acts on a set  $S$ , then  $S^{\mathrm{GL}(V)}$  is the set of  $\mathrm{GL}(V)$ -invariant elements of  $S$ :

$$(4) \quad \hat{p}(\mathbb{F}\mathcal{W}) = (\mathcal{O}(\mathcal{R}_T) \otimes \mathrm{T}(V))^{\mathrm{GL}(V)}.$$

**Proposition 0.** *Let  $T$  be finite and  $R \in \mathcal{R}_T$ . Then  $R$  is nondegenerate if and only if the orbit  $\mathrm{GL}(V) \cdot R$  is closed.*

**Proof.** *Sufficiency.* Let  $C := \mathrm{GL}(V) \cdot R$  be closed and let  $\omega \in \mathbb{F}\mathcal{W}$  be such that  $p_R(\omega \cdot W) = 0$  for each  $W \in \mathcal{W}$ . Suppose that  $\hat{p}_R(\omega) \neq 0$ . As the function  $\hat{p}(\omega)$  is  $\mathrm{GL}(V)$ -equivariant,  $\hat{p}_{R'}(\omega) \neq 0$  for all  $R' \in C$ . Hence, since  $C$  is closed, by the Nullstellensatz there exists  $q \in \mathcal{O}(\mathcal{R}_T) \otimes \mathrm{T}(V)$  with  $\hat{p}(\omega)(R') \cdot q(R') = 1$  for each  $R' \in C$ . Applying the Reynolds operator, we can assume that  $q$  is  $\mathrm{GL}(V)$ -equivariant (as  $\hat{p}(\omega)$  is  $\mathrm{GL}(V)$ -equivariant). So by (4),  $q = \hat{p}(\omega')$  for some quantum web  $\omega'$ . Then  $1 = \hat{p}(\omega)(R) \cdot \hat{p}(\omega')(R) = p(\omega \cdot \omega')(R) = p_R(\omega \cdot \omega') = 0$ , a contradiction.

*Necessity.* Let  $F := \{R' \in \mathcal{R}_T \mid p_{R'} = p_R\}$ . So  $F$  is the set of all  $R' \in \mathcal{R}_T$  with  $d(R') = d(R)$  for each  $\mathrm{GL}(V)$ -invariant regular function  $d$  on  $\mathcal{R}_T$  (by (4)). Hence  $F$  is a fiber of the projection  $\mathcal{R}_T \rightarrow \mathcal{R}_T // \mathrm{GL}(V)$ . So  $F$  contains a unique closed  $\mathrm{GL}(V)$ -orbit  $C$  ([2]).

Suppose  $R \notin C$ . Then there exists  $q \in \mathcal{O}(\mathcal{R}_T)$  with  $q(C) = 0$  and  $q(R) \neq 0$ . Let  $U$  be the  $\mathrm{GL}(V)$ -module spanned by  $\mathrm{GL}(V) \cdot q$ . The morphism  $\varphi : \mathcal{R}_T \rightarrow U^*$  with  $\varphi(R')(u) = u(R')$  (for  $R' \in \mathcal{R}_T$  and  $u \in U$ ) is  $\mathrm{GL}(V)$ -equivariant.

Let  $\varepsilon : U^* \rightarrow \mathrm{T}(V)$  be an embedding of  $U^*$  as  $\mathrm{GL}(V)$ -submodule of  $\mathrm{T}(V)$ .

(This exists, as  $U$  is spanned by a  $\mathrm{GL}(V)$ -orbit, so that each irreducible  $\mathrm{GL}(V)$ -module occurs with multiplicity at most 1 in  $U$ .) So  $\varepsilon \circ \varphi$  is a  $\mathrm{GL}(V)$ -equivariant morphism  $\mathcal{R}_T \rightarrow \mathrm{T}(V)$ . In other words,  $\varepsilon \circ \varphi$  belongs to  $(\mathcal{O}(\mathcal{R}_T) \otimes \mathrm{T}(V))^{\mathrm{GL}(V)}$ , which is by (4) equal to  $\hat{p}(\mathbb{F}\mathcal{W})$ . Hence  $\varepsilon \circ \varphi = \hat{p}(\omega)$  for some  $\omega \in \mathbb{F}\mathcal{W}$ . As  $\varphi(R) \neq 0$  (since  $\varphi(R)(q) = q(R) \neq 0$ ), we have  $\hat{p}(\omega)(R) \neq 0$ . As  $R$  is nondegenerate, there is a web  $W$  with  $p(\omega \cdot W)(R) \neq 0$ . So  $p_R(\omega \cdot W) \neq 0$ . However, for any  $R' \in C$ ,  $p(\omega \cdot W)(R') = \hat{p}(\omega)(R') \cdot \hat{p}(W)(R') = 0$ , since  $\hat{p}(\omega)(R') = \varepsilon \circ \varphi(R') = 0$ , as  $q(C) = 0$ . So  $p_{R'}(\omega \cdot W) = 0$  while  $p_R(\omega \cdot W) \neq 0$ , contradicting the fact that  $p_{R'}(G) = p_R(G)$  for each diagram  $G$ .  $\blacksquare$

### 3. Proof of Theorems 1 and 2

I. We first show necessity in Theorem 1. Let  $f = p_R$  for some tensor representation  $R : T \rightarrow \mathrm{T}(V)$ , where  $V$  is a  $d$ -dimensional linear space with  $d \leq n$ . Clearly,  $p_R$  is multiplicative. Moreover,  $\hat{p}_R(\Delta_{n+1}) = 0$ . Indeed, consider an ‘edge coloring’  $\varphi$  in the summation (1). As  $d < n + 1$ , two edges of  $\Delta_{n+1}$  have the same  $\varphi$ -value, say edges  $e_i$  and  $e_j$  ( $i \neq j$ ). Let  $\sigma$  be the permutation in  $S_{n+1}$  swapping  $i$  and  $j$ . Then  $J_\pi$  and  $J_{\sigma \circ \pi}$  cancel each other out for this  $\varphi$ , as  $\pi$  and  $\sigma \circ \pi$  have opposite signs. So for each fixed  $\varphi$ , the term in (1) is 0. Therefore,  $\hat{p}_R(\Delta_{n+1}) = 0$ , hence  $p_R(\Delta_{n+1} \cdot W) = 0$  for each  $W \in \mathcal{W}$ , by (2).

II. We next show that the condition in Theorem 1 implies the existence of a strongly nondegenerate tensor representation  $R$  with  $p_R = f$ .

Let  $f : \mathcal{G} \rightarrow \mathbb{F}$  be multiplicative and annihilate  $\Delta_{n+1}$ . We can assume that  $n$  is smallest with this property. Then:

$$f(\bigcirc) = n.$$

Indeed, by the minimality of  $n$ , there exists  $W \in \mathcal{W}_{n,n}$  with  $f(\Delta_n \cdot W) \neq 0$ . Let  $W' \in \mathcal{W}_{n+1,n+1}$  be obtained from  $W$  by adding one directed edge disjoint from  $W$ , with both ends labeled  $n + 1$ . Then  $0 = f(\Delta_{n+1} \cdot W') = (f(\bigcirc) - n)f(\Delta_n \cdot W)$ . So  $f(\bigcirc) = n$ .

From now on in this proof, fix an  $n$ -dimensional  $\mathbb{F}$ -linear space  $V$ . So  $\mathcal{R}_T$  and  $p : \mathbb{F}\mathcal{G} \rightarrow \mathcal{O}(\mathcal{R}_T)$  are well-defined. Then  $p$  is an algebra homomorphism, with respect to the  $\cdot$  product on the space  $\mathbb{F}\mathcal{G}$  of quantum diagrams (which is for diagrams just the disjoint union).

*Claim.*  $\mathrm{Ker} p \subseteq \Delta_{n+1} \cdot \mathbb{F}\mathcal{W}$ .

*Proof.* Let  $\gamma \in \mathbb{F}\mathcal{G}$  with  $p(\gamma) = 0$ . We prove that  $\gamma \in \Delta_{n+1} \cdot \mathbb{F}\mathcal{W}$ . By splitting  $p(\gamma)$  into homogeneous components, we can assume that  $\gamma$  is a linear combination of diagrams that all have the same number  $d$  of vertices; and more strongly, that all have the same number  $m_t$  of vertices of type  $t$ , for any  $t \in T$ . Then we can assume (by renaming and deleting unused types) that  $T := \{1, \dots, a\}$  for some  $a$ ,  $m_1 + \dots + m_a = d$ , and  $m_t \geq 1$  for all  $t \in T$ .

In fact, we can assume that  $m_t = 1$  for each  $t \in T$ . To see this, consider a type  $t \in T$  with  $m_t \geq 2$ , and introduce a new type, named  $a+1$ , with  $\iota(a+1) = \iota(t)$  and  $o(a+1) = o(t)$ . For any diagram  $G$ , let  $G'$  be the sum of those diagrams that can be obtained from  $G$  by changing the type of one vertex of type  $t$  to type  $a+1$ . So  $G'$  is the sum of  $m_t$  diagrams.

To describe  $p(G')$ , let  $B$  be a basis of term  $V^{*\otimes \iota(t)} \otimes V^{\otimes o(t)}$  in (3). For  $b \in B$ , let  $b^*$  be the corresponding element in the basis dual to  $B$ , and let  $b'$  be the element corresponding to  $b^*$  for the new term  $V^{*\otimes \iota(a+1)} \otimes V^{\otimes o(a+1)}$  in (3). Then

$$(5) \quad p(G') = \sum_{b \in B} \frac{d}{db^*} p(G) b'.$$

Let  $\gamma'$  be obtained by replacing each  $G$  in  $\gamma$  by  $G'$ . As  $p(\gamma) = 0$ , (5) gives  $p(\gamma') = 0$ . Moreover,  $\gamma' \in \Delta_{n+1} \cdot \mathbb{F}\mathcal{W}$  implies  $\gamma \in \Delta_{n+1} \cdot \mathbb{F}\mathcal{W}$ , as we can apply a reverse map  $G' \mapsto G$  (where  $G$  is obtained from  $G'$  by replacing type  $a+1$  by  $t$  and dividing by  $m_t$ ).

Repeating this operation we finally obtain that each type occurs precisely once in each diagram occurring in  $\gamma$ . So finally  $a = d$ . Then  $\sum_{t=1}^a \iota(t) = \sum_{t=1}^a o(t) =: m$ .

Make the following web  $W \in \mathcal{W}_{m,m}$ , having vertices  $v_1, \dots, v_a$ , where  $v_t$  has type  $t$ , for  $t \in T$ , and having in addition  $m$  roots and  $m$  sinks. The tails of the edges entering  $v_t$  are roots, labeled  $\bar{\iota}(t) + 1, \dots, \bar{\iota}(t) + \iota(t)$ , in order, where  $\bar{\iota}(t) := \sum_{i < t} \iota(i)$ . Moreover, the heads of the edges leaving  $v_t$  are sinks, labeled  $\bar{o}(t) + 1, \dots, \bar{o}(t) + o(t)$ , in order, where  $\bar{o}(t) := \sum_{i < t} o(i)$ .

For each  $\pi \in S_m$ , let  $G_\pi := J_\pi \cdot W$ . Then for each diagram  $G$  with  $a$  vertices, of types  $1, \dots, a$  respectively, there exists a unique  $\pi \in S_m$  with  $G = G_\pi$ . Moreover, for all  $y_1, \dots, y_m \in V^*$  and  $z_1, \dots, z_m \in V$ :

$$(6) \quad \prod_{i=1}^m y_{\pi(i)}(z_i) = p(G_\pi) \left( \bigoplus_{t=1}^a \left( \bigotimes_{i=1}^{\iota(t)} y_{\bar{\iota}(t)+i} \otimes \bigotimes_{j=1}^{o(t)} z_{\bar{o}(t)+j} \right) \right).$$

We can write for unique  $\lambda_\pi \in \mathbb{F}$  (for  $\pi \in S_m$ ):

$$\gamma = \sum_{\pi \in S_m} \lambda_\pi G_\pi.$$

Define the following polynomial  $q \in \mathcal{O}(\mathbb{F}^{m \times m})$ :

$$q(X) := \sum_{\pi \in S_m} \lambda_\pi \prod_{i=1}^m x_{\pi(i),i}$$

for  $X = (x_{i,j})_{i,j=1}^m \in \mathbb{F}^{m \times m}$ . Note that  $q$  determines  $\gamma$ . As  $p(\gamma) = 0$ , (6) implies that  $q((y_i(z_j))_{i,j=1}^m)$  for all  $y_1, \dots, y_m \in V^*$  and  $z_1, \dots, z_m \in V$ . This implies, by the second fundamental theorem (SFT) of invariant theory for  $\mathrm{GL}(V)$  (cf. [6] Theorem 12.2.12), that  $q$  belongs to the ideal in  $\mathcal{O}(\mathbb{F}^{m \times m})$  generated by the  $(n+1) \times (n+1)$  minors of  $\mathbb{F}^{m \times m}$ . That is,

$$q = \sum_{\substack{I, J \subseteq [m] \\ |I|=|J|=n+1}} q_{I,J} \det(X_{I,J}),$$

where  $X_{I,J}$  is the  $I \times J$  submatrix of  $X \in \mathbb{F}^{m \times m}$  and  $q_{I,J}$  belongs to  $\mathcal{O}(\mathbb{F}^{m \times m})$ . As each term of  $q$  comes from a permutation, the variables in any row of  $X$  have total degree 1 in  $q$ . Similarly, the variables in any column of  $X$  have total degree 1 in  $q$ . This implies that we can assume that each  $q_{I,J}$  has total degree 1 in rows of  $X$  with index not in  $I$  and total degree 0 in rows in  $X$  with index in  $I$ . Similarly for columns with respect to  $J$ . This implies  $\gamma \in \Delta_{n+1} \cdot \mathbb{F}\mathcal{W}$ .  $\square$

This claim and the condition in Theorem 1 imply that  $\mathrm{Ker} p \subseteq \mathrm{Ker} f$ . Hence there exists a linear function  $\hat{f} : p(\mathbb{F}\mathcal{G}) \rightarrow \mathbb{F}$  such that  $\hat{f} \circ p = f$ . Then  $\hat{f}$  is a unital algebra homomorphism, as  $\hat{f}(1) = \hat{f}(p(\emptyset)) = f(\emptyset) = 1$ , and as for all  $G, H \in \mathcal{G}$ :

$$\hat{f}(p(G)p(H)) = \hat{f}(p(GH)) = f(GH) = f(G)f(H) = \hat{f}(p(G))\hat{f}(p(H)).$$

Now first suppose that  $T$  is finite. Since  $p(\mathbb{F}\mathcal{G}) = \mathcal{O}(\mathcal{R}_T)^{\mathrm{GL}(V)}$  by (3), there exists  $R \in \mathcal{R}_T$  with  $\hat{f}(q) = q(R)$  for all  $q \in p(\mathbb{F}\mathcal{G})$  (by the Nullstellensatz). So

$$p_R(G) = p(G)(R) = \hat{f}(p(G)) = f(G),$$

for all  $G \in \mathcal{G}_T$ , proving Theorem 1 for finite  $T$ . By the closed orbit theorem ([2]), we can assume that the orbit  $\mathrm{GL}(V) \cdot R$  is closed. Then, by Proposition 4,  $R$  is nondegenerate.

Suppose next that  $T$  is infinite. Consider any finite subset  $U$  of  $T$ . Let  $F_U$  be the variety of tensor representations  $R : U \rightarrow \mathrm{T}(V)$  such that  $p_R = f|_{\mathcal{G}_U}$ . We saw above that, as  $U$  is finite,  $F_U \neq \emptyset$ . In fact,  $F_U$  is a fiber of the projection  $\mathcal{R}_U \rightarrow \mathcal{R}_U // \mathrm{GL}(V)$ . Hence  $F_U$  contains a unique  $\mathrm{GL}(V)$ -orbit  $C_U$  of minimal (Krull) dimension (cf. [2] 1.11 and 1.24). (It is in fact the unique closed orbit in  $F_U$ .)

Since  $\dim(C_U) \leq \dim(\mathrm{GL}(V))$  for each finite  $U \subseteq T$ , there exists a finite  $U \subseteq T$  with  $\dim(C_U)$  as large as possible. Then for each finite  $S \subseteq T$  with  $U \subseteq S$ :

$$(7) \quad \dim(C_S) = \dim(C_U) \text{ and } \pi_{S,U}(C_S) = C_U,$$

where  $\pi_{S,U}$  is the natural projection  $\mathcal{R}_S \rightarrow \mathcal{R}_U$ . Indeed,

$$(8) \quad \dim(C_U) \leq \dim(\pi_{S,U}(C_S)) \leq \dim(C_S).$$

To see this, note that  $\pi_{S,U}(C_S) \subseteq F_U$ . Then the first inequality in (8) follows from the fact that  $\pi_{S,U}(C_S)$  is a  $\mathrm{GL}(V)$ -orbit in  $F_U$ , and that  $C_U$  has minimal dimension among all  $\mathrm{GL}(V)$ -orbits in  $F_U$ .

By the maximality of  $\dim(C_U)$ , we have equality throughout in (8). As  $\pi_{S,U}(C_S)$  is a  $\mathrm{GL}(V)$ -orbit and as  $C_U$  is the unique orbit in  $F_U$  of minimal dimension, (7) follows.

Choose an arbitrary  $R \in C_U$  and consider some finite  $S \supseteq U$ . We extend  $U$  and  $R$  if possible as follows. By (7), there exists at least one  $R' \in C_S$  with  $\pi_{S,U}(R') = R$ . As  $\pi_{S,U}$  is  $\mathrm{GL}(V)$ -equivariant, for the stabilizers one has

$$(9) \quad \mathrm{GL}(V)_{R'} \subseteq \mathrm{GL}(V)_R.$$

Suppose that there exists  $R'' \neq R'$  in  $C_S$  with  $\pi_{S,U}(R'') = R$ . So some  $g \in \mathrm{GL}(V)$  moves  $R'$  to  $R''$ , while it leaves  $R$  invariant. Then we have strict inclusion in (9). Now replace  $U, R$  by  $S, R'$ .

In respect of (9), the finite basis theorem implies that we can do such replacements only a finite number of times. So we end up with a finite  $U$  and  $R \in C_U$  such that  $\pi_{S,U}$  is injective on  $C_S$ . Hence for each  $t \in T$  there is a unique  $R_t \in C_{U \cup \{t\}}$  such that  $R_t|_U = R$ .

Define a tensor representation  $P : T \rightarrow \mathrm{T}(V)$  by  $P(t) := R_t(t)$  for  $t \in T$ . This implies that for each finite  $U' \supseteq U$ ,  $P|_{U'}$  belongs to  $C_{U'}$ . Hence by

Proposition 4,  $P|U'$  is nondegenerate. Concluding,  $P$  is strongly nondegenerate.

III. Finally we show the uniqueness of a strongly nondegenerate tensor representation  $R$  with  $p_R = f$ , up to the action of  $\mathrm{GL}(V)$  on  $\mathcal{R}_T$ . Let  $R$  and  $R'$  be strongly nondegenerate tensor representations with  $f = p_R = p_{R'}$ . It suffices to show that for each finite  $S \subseteq T$  there exists  $g \in \mathrm{GL}(V)$  such that  $R'|S = g \cdot R|S$  (since then, by the finite basis theorem, we can choose a finite  $S \subseteq T$  with the variety  $\Gamma_S := \{g \in \mathrm{GL}(V) \mid R'|S = g \cdot R|S\}$  minimal among all  $\Gamma_{S'}$  with finite  $S' \supseteq S$ , implying that for each  $t \in T$  and  $g \in \Gamma_S$  one has  $R'|S \cup \{t\} = g \cdot R|S \cup \{t\}$ ).

Let again  $U \subseteq T$  be finite with  $\dim(C_U)$  maximal. Hence it suffices to show that for each finite  $U' \subseteq T$  with  $U' \supseteq U$ ,  $\mathrm{GL}(V) \cdot R|U' = \mathrm{GL}(V) \cdot R'|U'$ .

As  $U \subseteq U'$ ,  $\dim(C_{U'})$  is maximal (by (7)). As  $R$  and  $R'$  are strongly nondegenerate, we can choose finite  $S, S' \subseteq T$  with  $U' \subseteq S, S'$  and  $R|S$  and  $R'|S'$  nondegenerate. By Proposition 4, the orbits  $\mathrm{GL}(V) \cdot R|S$  and  $\mathrm{GL}(V) \cdot R'|S'$  are closed. In other words,  $\mathrm{GL}(V) \cdot R|S = C_S$  and  $\mathrm{GL}(V) \cdot R'|S' = C_{S'}$ . Hence, by (7),  $\mathrm{GL}(V) \cdot R|U' = C_{U'} = \mathrm{GL}(V) \cdot R'|U'$ .  $\blacksquare$

## 4. Final remarks

With the methods of [18] one may derive from Theorem 1 the following alternative characterization of traces of tensor representations, in terms of the exponential rank growth of ‘connection matrices’ (cf. Freedman, Lovász, and Schrijver [5]). To this end, define, for any  $f : \mathcal{G} \rightarrow \mathbb{F}$  and  $k \in \mathbb{Z}_+$ , the  $\mathcal{W}_{k,k} \times \mathcal{W}_{k,k}$  matrix  $M_{f,k}$  by

$$(M_{f,k})_{W,X} := f(W \cdot X),$$

for  $W, X \in \mathcal{W}_{k,k}$ . Then for any  $f : \mathcal{G} \rightarrow \mathbb{F}$  and  $n \in \mathbb{Z}_+$ :

- (10)  $f$  is the trace of an  $n$ -dimensional tensor representation if and only if  $f(\emptyset) = 1$ ,  $f(\bigcirc) = n$ , and  $\mathrm{rank}(M_{f,k}) \leq n^{2k}$  for each  $k$ .

If  $T$  is finite (which is the case in most of the examples given, and also the group example can be described by a finite  $T$  if the group is finitely generated), then all  $n$ -dimensional traces form a variety, namely the closed orbit space  $\mathcal{R}_T // \mathrm{GL}(V)$ , where  $V$  is  $n$ -dimensional. This is a direct consequence of the fact that  $p(\mathbb{F}\mathcal{G}) = \mathcal{O}(R_T)^{\mathrm{GL}(V)}$  (cf. (4)).

Let us finally remark that most results of this paper have an analogue for undirected graphs, by replacing  $GL(V)$  by the orthogonal group  $O(V)$  (with respect to some nondegenerate bilinear form on  $V$ ).

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